

UNDER SECTION 23A AND SECTION 32, CROWN MINERALS ACT 1991

Application for minerals prospecting, exploration or mining permit

TIER 1 AND TIER 2

This form is used to apply for a new Tier 1 or Tier 2 minerals permit (under section 23A) or a subsequent minerals permit (under section 32) of the **Crown Minerals Act 1991** (the Act).

Use **APP 01-T3** if applying for a Tier 3 minerals mining permit.

- › New Zealand Petroleum & Minerals (NZP&M) recommends that applicants familiarise themselves with the **Crown Minerals Act 1991** (the 'Act'), the **Crown Minerals (Minerals Other than Petroleum) Regulations 2007**, the **Crown Minerals (Petroleum) Regulations 2007**, the **Minerals Programme for Minerals (Excluding Petroleum) 2025**, and the **Minerals Programme for Petroleum 2025** and seek professional advice where appropriate before making an application for a permit. See NZP&M's **Overview of the minerals and petroleum regulatory environment – New Zealand Petroleum and Minerals** webpage for more details.
- › Please note that information provided with your application is treated confidentially but may be subject to release under the provisions of the **Official Information Act 1982**. If this is the case, we may consult with you before the material is considered for public release.
- › The personal information you must include in this form is needed to process your application under the Act. You have the right under the **Privacy Act 2020** and/or the **Official Information Act 1982** to access information held about you by NZP&M and request that this information be corrected if necessary.
- › The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business Innovation and Employment's **Transparency Statement**.
- › If the space on any part of this form is insufficient to include all relevant details, (including the details of additional proposed permit participants requested in section 2.1, if more than one), record the details on a separate sheet/s of paper, state "see supporting information" in the appropriate space in this form, note it as supporting information in table 4.1, and attach the supplementary information to this application in the order the information is requested in the form.
- › Note that a permit holder is the person who is the sole permit participant, or all of the permit participants, as the case may be. A permit participant means a person who holds a participating interest in a permit.

SECTION 1: CONTACT DETAILS

1.1 Application contact¹ details

Please provide the details of the person making the application. This person (who must be an individual) will be the point of contact for any matters relating to the application.

Legal name:		
Organisation:		
NZBN (if applicable):		
Postal address:		
	Postcode:	
Email:		
Primary phone:		
Secondary phone:		

- 1 The Application contact is the sole point of contact for an application. An application contact:
- › receives all communications about the progress of an application, including any application fee invoice.
 - › can view all applications for which they are the Application contact (where they have a NZP&M online permitting system account)

1.2 Permit administrator² details

This is the person responsible for the ongoing administration of the permit. Will the permit administrator be different from the application contact?

Yes ☐

If yes, please complete section 1.2.

No ☐

If no, please continue to section 2.

Legal name:		
Organisation:		
NZBN (if applicable):		
Postal address:		
	Postcode:	
Email:		
Primary phone:		
Secondary phone:		

- 2 The Permit administrator is the ongoing primary contact on matters to do with the permit. A permit administrator:
- › receives all communications regarding the permit not directed to the Fee administrator, Royalty administrator, ERL administrator, Geotechnical contact, or Audit contact. This includes all communication around upcoming obligations.
 - › can view all permits for which they are the Permit administrator (and where they have a NZP&M online permitting system account).
 - › by default assumes the Geotechnical Contact role for a permit, when the permit is first granted. However, this can be reassigned at any time using an **APP 11**.
 - › by default assumes the Fee administrator role for a permit, when the permit is first granted. However, this can be reassigned at any time using **APP 11**.

SECTION 2: PROPOSED PERMIT HOLDER, PERMIT PARTICIPANTS AND INTERESTS

2.1 Proposed permit participant details³

If there is more than one proposed permit participant please record the details of each additional one using as many separate sheets of paper as required record this as supplementary information in table 4.1 and attach the sheet/s to this application form.

Legal name of proposed permit participant: ⁴		
Type of proposed permit participant:	☐ Individual ☐ NZ registered company ☐ Incorporated society ☐ Partnership ☐ Local authority	☐ Crown ☐ Overseas company ⁵ ☐ Trust ⁴ ☐ Industrial and provident society ☐ Other (please state)
NZBN (if applicable):		
Postal address: ⁶		
		Postcode:
Physical address: ⁶		
		Postcode:
Email:		
Address for service: ⁷	Use email Yes ☐ OR Use physical address Yes ☐	
Primary phone:		
Secondary phone:		

³ Under section 91 of the Act, the name and contact details of the permit participants of each permit must be on the public register. Contact details for the purposes of this section are considered to be the address of the permit participant(s), which may be an email address, where the permit participant may be contacted. Therefore, this information will be publicly available.

⁴ Permits can only be granted to legal entities. Where a trust is unincorporated, the relevant permit participant (and ultimate permit holder) would be the trustees, not the Trust. This is similar for unincorporated partnerships. Therefore, the name of each trustee or partner must be provided as the relevant permit participant.

⁵ Please note that overseas companies carrying on business in New Zealand are required to register with the New Zealand Companies Office under section 334 of the **Companies Act 1993**. For further information on registration and the obligations of overseas companies carrying on business in New Zealand please refer to the **Companies Register**.

⁶ Physical and postal addresses need to be of the registered office, if applicable.

⁷ The address for service can be a physical address within New Zealand or an email address (please specify which you want to use).

2.2 Proposed permit interests

Please list all proposed permit participants, their percentage interest in the proposed permit and indicate the proposed permit operator⁸.

	Permit participant(s)	NZBN (if applicable)	Interest
1	Permit Operator		%
2			%
3			%
4			%
5			%
6			%

⁸ The Permit operator is the person who is responsible for the day-to-day management of activities under the permit.

SECTION 3: PROPOSED PERMIT DETAILS

3.1 Proposed permit details

Please provide the following details:

What type of minerals permit are you applying for?	Prospecting ☐	Exploration ☐	Mining ☐									
What minerals are you seeking this permit for? ⁹												
Proposed permit tier: ¹⁰	Tier 1 ☐	Tier 2 ☐										
If this is a minerals prospecting permit application, is a non-exclusive permit sought?	Not applicable ☐	Yes ☐	No ☐									
If this is a minerals exploration or mining permit application, is the application for a subsequent permit pursuant to section 32 of the Act?	Not applicable (not a minerals mining or exploration application) ☐ Yes ☐ Current permit number: _____ No ☐											
If this is a minerals <i>mining</i> permit application, please tick all mining methods that are proposed to be used and state whether the application is for a special purpose mining activity:	Not applicable (not a minerals mining permit application) ☐ <table> <tr> <td>☐ Solution mining</td> <td>☐ Opencast</td> <td>☐ Other (please state) _____</td> </tr> <tr> <td>☐ Surface mining</td> <td>☐ Underground</td> <td></td> </tr> <tr> <td>☐ Dredging</td> <td>☐ Reworking</td> <td></td> </tr> </table> Special purpose mining activity ¹¹ ☐			☐ Solution mining	☐ Opencast	☐ Other (please state) _____	☐ Surface mining	☐ Underground		☐ Dredging	☐ Reworking	
☐ Solution mining	☐ Opencast	☐ Other (please state) _____										
☐ Surface mining	☐ Underground											
☐ Dredging	☐ Reworking											

⁹ For subsequent permits, the minerals must be one or more of the same minerals as the current permit.

¹⁰ For information on Tier levels of minerals permits please see section 2B of the Act.

¹¹ As defined under section 2 of the Act.

Is this minerals permit application part of a newly available acreage (NAA) offer? ¹²	Not applicable (not a minerals permit application) ☐		
	Yes ☐	NAA number:	
	No ☐		
Proposed permit area: ¹³	Hectares (for a minerals exploration or mining permit)		
	Square kilometres (for a minerals prospecting permit)		
Location	Region: ☐ Northland ☐ Auckland ☐ Waikato ☐ Bay of Plenty ☐ Gisborne ☐ Taranaki ☐ Manawatu-Whanganui ☐ Hawke's Bay ☐ Wellington ☐ Marlborough ☐ Nelson ☐ Tasman ☐ West Coast ☐ Canterbury ☐ Otago ☐ Southland ☐ Other (please state) _____		
	Onshore ☐	Offshore ¹⁴ ☐	Both ☐
Is the permit application area intended to exclude all granted permits or existing privileges for the same minerals group?	Yes ☐	No ☐	
Proposed operation name			
Proposed duration ¹⁵	Years:	Months	

¹² Land with NAA status is subject to a time-bound competitive allocation process. For more information about the process, see clauses 6.7. and 6.8 of the Minerals Programme for Minerals (Excluding Petroleum) 2025.

¹³ Please state the area in hectares for a minerals exploration or mining permit and in square kilometres for a minerals prospecting permit. For information on limits to permit area size, please refer to the **Minerals Programme for Minerals Excluding Petroleum 2025**:

Clause 8.4 for a prospecting permit

Clause 9.4 for an exploration permit

Clauses 10.4 & 10.7 for a mining permit

¹⁴ Offshore is anything that is the seaward side of the mean high watermark.

¹⁵ Minerals prospecting permits are ordinarily granted initially for up to 2 years, exploration permits are ordinarily granted initially for up to 5 years and mining permits for up to 40 years. Tier 2 mining permits for alluvial gold are ordinarily granted for up to 10 years. For information on extensions to the duration of a permit, please refer to the Minerals Programme for Minerals (Excluding Petroleum) 2025:

Clause 12.6 (1) & (2) for a prospecting permit

Clause 12.6(3) to (5) for an exploration permit

Clause (12.6(6) to (8) for a mining permit.

SECTION 4: SUPPORTING INFORMATION

4.1 Documents Required

Please attach the following:

A signed APP10 Application Authority form for each proposed permit participant that is not you as an individual.	
Any supplementary information provided by the applicant where there was not enough space to include all relevant details in the relevant section of this application form.	
For Tier 1 exploration and mining applications for an area offshore OR minerals other than gold or silver a Land Minerals Status report . Even if this is a subsequent permit application and an LMS report has previously been provided please attach a copy of the report.	
A map of the permit area. The map must clearly identify the location of the proposed permit and must be prepared in accordance with Regulations 4 and 5 of the Crown Minerals (Minerals Other than Petroleum) Regulations 2007 . For subsequent permit applications, the area must fall only within the area of the current permit.	
Other supporting information as outlined in the Crown Minerals (Minerals Other than Petroleum) Regulations 2007 .	

SECTION 5: APPLICATION FEE

5.1 Payment information

This application must be accompanied by evidence of payment of the appropriate fee prescribed in the **Crown Minerals (Minerals Fees) Regulations (2016)**.

Refer to the NZP&M **Minerals Permit Application Fees** webpage for information on the current (GST inclusive) fees for a Minerals Prospecting, Exploration or Mining Permit application.

Please pay the application fee by internet banking and attach a copy of the successful transaction, as evidence of the payment.

Account details:

- › Account Holder/Payee name: Ministry of Business, Innovation & Employment
- › Account number: 03 0049 0001311 02
- › Reference for payment: A proposed permit participant's name.
- › The Ministry of Business, Innovation and Employment's bank account is held with Westpac bank, NZ Government Branch, 318 Lambton Quay, Wellington, New Zealand

The applicant is also expected to pay for all bank fees incurred for telegraphic transfers made from overseas:

- › One bank fee is charged when the fee leaves the country of origin's bank and another fee is charged when it is received by a bank in New Zealand.
- › As such, payments by telegraphic transfer should ensure that overseas bank charges are set to 'Ours' in order to have the bank fees paid at both ends
- › SWIFT Code is WPACNZ2W.

5.2 Evidence of payment

Please provide the following payment details to appear on the Ministry of Business, Innovation & Employment's bank statement and attach a copy of the successful internet banking transaction as evidence that the application fee has been paid.

Payee name:	
Payment reference:	
Payment date:	
Evidence of direct credit payment:	A copy of the successful internet banking transaction statement is attached. ☐

SECTION 6: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application.¹⁵

Signature:	
Legal name:	
Position of signatory:	
Organisation:	
NZBN:	
Date:	

15 Where the permit participant is a company, partnership, society, trust or other legal entity, the application must be signed in accordance with the relevant legislative requirements, constitution, or rules by a person or agent with the requisite authority. All applications must be signed in accordance with the relevant regulations.

This completed form, along with the supporting documentation, should be emailed or posted to New Zealand Petroleum & Minerals at the addresses below.

Address: PO Box 1473, Wellington 6140, New Zealand

Email: nzpam@mbie.govt.nz

Website: www.nzpam.govt.nz

NZP&M is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand’s Crown Mineral Estate. Our aim is to maximise the gains to New Zealand’s economy from development of these resources, a key component to the government’s Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.