

UNDER SECTION 23A AND SECTION 32, CROWN MINERALS ACT 1991

# Application for minerals prospecting, exploration or mining permit

## TIER 1 AND TIER 2

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This form is used to apply for a new Tier 1 or Tier 2 minerals permit (under section 23A) or a subsequent minerals permit (under section 32) of the **Crown Minerals Act 1991** (the Act).

Use **APP 01-T3** if applying for a Tier 3 minerals mining permit.

- › New Zealand Petroleum & Minerals (NZP&M) recommends that applicants familiarise themselves with the **Crown Minerals Act 1991** (the 'Act'), the **Crown Minerals (Minerals Other than Petroleum) Regulations 2007**, the **Crown Minerals (Petroleum) Regulations 2007**, the **Minerals Programme for Minerals (Excluding Petroleum) 2025**, and the **Minerals Programme for Petroleum 2025** and seek professional advice where appropriate before making an application for a permit. See NZP&M's **Overview of the minerals and petroleum regulatory environment – New Zealand Petroleum and Minerals** webpage for more details.
- › Please note that information provided with your application is treated confidentially but may be subject to release under the provisions of the **Official Information Act 1982**. If this is the case, we may consult with you before the material is considered for public release.
- › The personal information you must include in this form is needed to process your application under the Act. You have the right under the **Privacy Act 2020** and/or the **Official Information Act 1982** to access information held about you by NZP&M and request that this information be corrected if necessary.
- › The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business Innovation and Employment's **Transparency Statement**.
- › If the space on any part of this form is insufficient to include all relevant details, place them at the beginning of the supporting Information document in the order they appear in this form, state 'see supporting information' in the appropriate space, and attach the document to the application.
- › Note that a permit holder is the person who is the sole permit participant, or all of the permit participants, as the case may be. A permit participant means a person who holds a participating interest in a permit.

## SECTION 1: CONTACT DETAILS

### 1.1 Application contact<sup>1</sup> details

Please provide the details of the person making the application. This person (who must be an individual) will be the point of contact for any matters relating to the application.

Legal name:		
Organisation:		
NZBN (if applicable):		
Postal address:		
	Postcode:	
Email:		
Primary phone:		
Secondary phone:		

- 1 The Application contact is the sole point of contact for an application. An application contact:
- › receives all communications about the progress of an application, including any application fee invoice.
  - › can view all applications for which they are the Application contact (where they have a NZP&M online permitting system account)

### 1.2 Permit administrator<sup>2</sup> details

This is the person responsible for the ongoing administration of the permit. Will the permit administrator be different from the application contact?

Yes ☐

If yes, please complete section 1.2.

No ☐

If no, please continue to section 2.

Legal name:		
Organisation:		
NZBN (if applicable):		
Postal address:		
	Postcode:	
Email:		
Primary phone:		
Secondary phone:		

- 2 The Permit administrator is the ongoing primary contact on matters to do with the permit. A permit administrator:
- › receives all communications regarding the permit not directed to the Fee administrator, Royalty administrator, ERL administrator, Geotechnical contact, or Audit contact. This includes all communication around upcoming obligations.
  - › can view all permits for which they are the Permit administrator (and where they have a NZP&M online permitting system account).
  - › by default assumes the Geotechnical Contact role for a permit, when the permit is first granted. However, this can be reassigned at any time using an **APP 11**.
  - › by default assumes the Fee administrator role for a permit, when the permit is first granted. However, this can be reassigned at any time using **APP 11**.

## SECTION 2: PROPOSED PERMIT HOLDER, PERMIT PARTICIPANTS AND INTERESTS

### 2.1 Proposed permit participant details<sup>3</sup>

If there is more than one proposed permit participant please record the details of each additional one using form **APP 09** (Additional permit participant information).

Legal name of proposed permit participant: <sup>4</sup>		
Type of proposed permit participant:	<input type="checkbox"/> Individual <input type="checkbox"/> NZ registered company  <input type="checkbox"/> Incorporated society <input type="checkbox"/> Partnership <input type="checkbox"/> Local authority	<input type="checkbox"/> Crown <input type="checkbox"/> Overseas company <sup>5</sup> <input type="checkbox"/> Trust <sup>4</sup> <input type="checkbox"/> Industrial and provident society <input type="checkbox"/> Other (please state)
NZBN (if applicable):		
Postal address: <sup>6</sup>		
		Postcode: <input type="text"/>
Physical address: <sup>6</sup>		
		Postcode: <input type="text"/>
Email:		
Address for service: <sup>7</sup>	Use email <b>Yes</b> <input type="checkbox"/> OR Use physical address <b>Yes</b> <input type="checkbox"/>	
Primary phone:		
Secondary phone:		

<sup>3</sup> Under section 91 of the Act, the name and contact details of the permit participants of each permit must be on the public register. Contact details for the purposes of this section are considered to be the address of the permit participant(s), which may be an email address, where the permit participant may be contacted. Therefore, this information will be publicly available.

<sup>4</sup> Permits can only be granted to legal entities. Where a trust is unincorporated, the relevant permit participant (and ultimate permit holder) would be the trustees, not the Trust. This is similar for unincorporated partnerships. Therefore, the name of each trustee or partner must be provided as the relevant permit participant.

<sup>5</sup> Please note that overseas companies carrying on business in New Zealand are required to register with the New Zealand Companies Office under section 334 of the **Companies Act 1993**. For further information on registration and the obligations of overseas companies carrying on business in New Zealand please refer to the **Companies Register**.

<sup>6</sup> Physical and postal addresses need to be of the registered office, if applicable.

<sup>7</sup> The address for service can be a physical address within New Zealand or an email address (please specify which you want to use).

## 2.2 Proposed permit interests

Please list all proposed permit participants, their percentage interest in the proposed permit and indicate the proposed permit operator<sup>8</sup>.

	Permit participant(s)	NZBN (if applicable)	Interest
1	Permit Operator		%
2			%
3			%
4			%
5			%
6			%

<sup>8</sup> The Permit operator is the person who is responsible for the day-to-day management of activities under the permit.

## SECTION 3: PROPOSED PERMIT DETAILS

### 3.1 Proposed permit details

Please provide the following details:

What type of minerals permit are you applying for?	Prospecting <input type="checkbox"/>	Exploration <input type="checkbox"/>	Mining <input type="checkbox"/>									
What minerals are you seeking this permit for? <sup>9</sup>												
Proposed permit tier: <sup>10</sup>	Tier 1 <input type="checkbox"/>	Tier 2 <input type="checkbox"/>										
If this is a minerals prospecting permit application, is a non-exclusive permit sought?	Not applicable <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>									
If this is a minerals exploration or mining permit application, is the application for a subsequent permit pursuant to section 32 of the Act?	Not applicable (not a minerals mining or exploration application) <input type="checkbox"/> Yes <input type="checkbox"/> Current permit number: _____ No <input type="checkbox"/>											
If this is a minerals <i>mining</i> permit application, please tick all mining methods that are proposed to be used and state whether the application is for a special purpose mining activity:	Not applicable (not a minerals mining permit application) <input type="checkbox"/> <table> <tr> <td><input type="checkbox"/> Solution mining</td> <td><input type="checkbox"/> Opencast</td> <td><input type="checkbox"/> Other (please state) _____</td> </tr> <tr> <td><input type="checkbox"/> Surface mining</td> <td><input type="checkbox"/> Underground</td> <td></td> </tr> <tr> <td><input type="checkbox"/> Dredging</td> <td><input type="checkbox"/> Reworking</td> <td></td> </tr> </table> Special purpose mining activity <sup>11</sup> <input type="checkbox"/>			<input type="checkbox"/> Solution mining	<input type="checkbox"/> Opencast	<input type="checkbox"/> Other (please state) _____	<input type="checkbox"/> Surface mining	<input type="checkbox"/> Underground		<input type="checkbox"/> Dredging	<input type="checkbox"/> Reworking	
<input type="checkbox"/> Solution mining	<input type="checkbox"/> Opencast	<input type="checkbox"/> Other (please state) _____										
<input type="checkbox"/> Surface mining	<input type="checkbox"/> Underground											
<input type="checkbox"/> Dredging	<input type="checkbox"/> Reworking											

<sup>9</sup> For subsequent permits, the minerals must be one or more of the same minerals as the current permit.

<sup>10</sup> For information on Tier levels of minerals permits please see section 2B of the Act.

<sup>11</sup> As defined under section 2 of the Act.

Is this minerals permit application part of a newly available acreage (NAA) offer? <sup>12</sup>	Not applicable (not a minerals permit application) <input type="checkbox"/>		
	Yes <input type="checkbox"/>	NAA number: _____	
	No <input type="checkbox"/>		
Proposed permit area: <sup>13</sup>	Hectares (for a minerals <b>exploration</b> or <b>mining</b> permit)		
	Square kilometres (for a minerals <b>prospecting</b> permit)		
Location	Region: <div style="display: flex; flex-wrap: wrap;"> <div style="width: 33%;"> <input type="checkbox"/> Northland  <input type="checkbox"/> Auckland  <input type="checkbox"/> Waikato  <input type="checkbox"/> Bay of Plenty  <input type="checkbox"/> Gisborne  <input type="checkbox"/> Taranaki  <input type="checkbox"/> Manawatu-Whanganui  <input type="checkbox"/> Hawke's Bay         </div> <div style="width: 33%;"> <input type="checkbox"/> Wellington  <input type="checkbox"/> Marlborough  <input type="checkbox"/> Nelson  <input type="checkbox"/> Tasman  <input type="checkbox"/> West Coast  <input type="checkbox"/> Canterbury  <input type="checkbox"/> Otago  <input type="checkbox"/> Southland         </div> <div style="width: 33%;"> <input type="checkbox"/> Other (please state) _____         </div> </div>		
	Onshore <input type="checkbox"/>	Offshore <sup>14</sup> <input type="checkbox"/>	Both <input type="checkbox"/>
Is the permit application area intended to exclude all granted permits or existing privileges for the same minerals group?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Proposed operation name	_____		
Proposed duration <sup>15</sup>	Years: _____	Months _____	

<sup>12</sup> Land with NAA status is subject to a time-bound competitive allocation process. For more information about the process, see clauses 6.7. and 6.8 of the Minerals Programme for Minerals (Excluding Petroleum) 2025.

<sup>13</sup> Please state the area in hectares for a minerals exploration or mining permit and in square kilometres for a minerals prospecting permit.

<sup>14</sup> Offshore is anything that is the seaward side of the mean high watermark.

<sup>15</sup> Minerals prospecting permits are ordinarily granted initially for up to 2 years, exploration permits are ordinarily granted initially for up to 5 years and mining permits for up to 40 years. Tier 2 mining permits for alluvial gold are ordinarily granted for up to 10 years.

## SECTION 4: SUPPORTING INFORMATION

### 4.1 Documents Required

Please attach the following:

A signed <b>APP 09</b> for each additional proposed permit participant listed in section 2, if required	
A signed <b>APP 10</b> Application Authority form for each proposed permit participant who is not the application contact.	
For Tier 1 exploration and mining applications for an area offshore OR minerals other than gold or silver a <b>Land Minerals Status report</b> . Even if this is a subsequent permit application and an LMS report has previously been provided please attach a copy of the report.	
A map of the permit area. The map must clearly identify the location of the proposed permit and must be prepared in accordance with the <b>Crown Minerals (Minerals Other than Petroleum) Regulations 2007</b> . For subsequent permit applications, the area must fall only within the area of the current permit.	
Other supporting information as outlined in the <b>Crown Minerals (Minerals Other than Petroleum) Regulations 2007</b> .	

## SECTION 5: APPLICATION FEE

### 5.1 Payment information

This application must be accompanied by evidence of payment of the appropriate fee prescribed in the **Crown Minerals (Minerals Fees) Regulations (2016)**.

Refer to the NZP&M **Minerals Permit Application Fees** webpage for information on the current (GST inclusive) fees for a Minerals Prospecting, Exploration or Mining Permit application.

Please pay the application fee by internet banking and attach a copy of the successful transaction, as evidence of the payment.

Account details:

- › Account Holder/Payee name: Ministry of Business, Innovation & Employment
- › Account number: 03 0049 0001311 02
- › Reference for payment: A proposed permit participant's name.
- › The Ministry of Business, Innovation and Employment's bank account is held with Westpac bank, NZ Government Branch, 318 Lambton Quay, Wellington, New Zealand

The applicant is also expected to pay for all bank fees incurred for telegraphic transfers made from overseas:

- › One bank fee is charged when the fee leaves the country of origin's bank and another fee is charged when it is received by a bank in New Zealand.
- › As such, payments by telegraphic transfer should ensure that overseas bank charges are set to 'Ours' in order to have the bank fees paid at both ends
- › SWIFT Code is WPACNZ2W.

### 5.2 Evidence of payment

Please provide the following payment details to appear on the Ministry of Business, Innovation & Employment's bank statement and attach a copy of the successful internet banking transaction as evidence that the application fee has been paid.

Payee name:	
Payment reference:	
Payment date:	
Evidence of direct credit payment:	A copy of the successful internet banking transaction statement is attached. <input type="checkbox"/>

SECTION 6: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application.<sup>15</sup>

Signature:	
Legal name:	
Position of signatory:	
Organisation:	
NZBN:	
Date:	

*15 Where the permit participant is a company, partnership, society, trust or other legal entity, the application must be signed in accordance with the relevant legislative requirements, constitution, or rules by a person or agent with the requisite authority. All applications must be signed in accordance with the relevant regulations.*

This completed form, along with the supporting documentation, should be emailed or posted to New Zealand Petroleum & Minerals at the addresses below.

**Address:** PO Box 1473, Wellington 6140, New Zealand

**Email:** [nzpam@mbie.govt.nz](mailto:nzpam@mbie.govt.nz)

**Website:** [www.nzpam.govt.nz](http://www.nzpam.govt.nz)

NZPEM is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand’s Crown Mineral Estate. Our aim is to maximise the gains to New Zealand’s economy from development of these resources, a key component to the government’s Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.