

UNDER SECTION 41B, CROWN MINERALS ACT 1991

Application for consent to a dealing¹

This form is to be used to apply for consent to any agreement, other than a transfer of a participating interest, or a mortgage or any other charge. For consent to change operator and/or transfer an interest in the permit use APP 04.

- › New Zealand Petroleum & Minerals recommends that applicants familiarise themselves with the Crown Minerals Act 1991 (the 'Act'), the relevant regulations, and the relevant Minerals or Petroleum Programme, and seek professional advice where appropriate before making an application for consent to a dealing. See www.nzpam.govt.nz/our-industry/rules-regulations for more details.
 - › Please note that information provided with your application is treated confidentially but may be subject to release under the provisions of the Official Information Act 1982. If this is the case, we may consult with you before the material is considered for public release.
 - › The personal information you must include in this form is needed to process your application under the Act. You have the right under the Privacy Act 2020 and/or the Official Information Act 1982 to access information held about you by New Zealand Petroleum & Minerals and request that this information be corrected if necessary.
 - › The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business Innovation and Employment's **Transparency Statement**.
 - › If the space on any part of this form is insufficient to include all relevant details, place them at the beginning of the supporting information document in the order they appear in this form, state 'see supporting information' in the appropriate space, and attach the document to the application.
- 1 A dealing that requires an application on form APP-05 is any agreement (other than a transfer of a participating interest, or a mortgage or other charge) that imposes on any permit participant any obligation that relates to the sale or the proceeds of production where the term of the agreement is for 12 months or longer, or if the agreement is not on arm's length terms, or could be considered not to have been entered into on an arm's length basis or is otherwise not on a fair market basis.
Note also that a dealing relating to a Tier 1 permit has no legal effect if the Minister does not consent to the dealing. You are advised to seek professional advice if you are unsure whether your agreement is a dealing.

SECTION 1: CONTACTS

1.1 Application contact details:

Please set out the details of the Application contact. The Application contact is the sole point of contact for an application.

An application contact:

- › receives all communications about the progress of an application, including any application fee invoice.
- › can view all applications for which they are the Application contact (where they have an New Zealand Petroleum & Minerals online permitting system account)

Legal name:	
Organisation:	
New Zealand Business Number (NZBN):	

SECTION 4: SUPPORTING INFORMATION

4.1 Documents required:

Please attach the following:

- A signed APP 10 Application authority form for each permit participant that is not you as an individual.
- Executed copy of agreement.³
- Other supporting information as outlined in the relevant Regulations

³ This must be a true copy and not contain any deletions.

SECTION 5: APPLICATION FEE

5.1 Fee payment:

Please refer to the New Zealand Petroleum & Minerals (NZP&M) website for application fees:

Mineral permit fees – www.nzpam.govt.nz/permits/minerals/fees-royalties

Petroleum permit fees – www.nzpam.govt.nz/permits/petroleum/fees-royalties

Application fees are prescribed in the Crown Minerals (Mineral Fees) Regulations 2016 or the Crown Minerals (Petroleum Fees) Regulations 2016.

Please pay the fee by internet banking and attach a copy of the successful transaction, as evidence of the payment.

Account details:

- › Account Holder/Payee name: Ministry of Business, Innovation & Employment
- › Account number: 03 0049 0001311 02
- › Reference for payment: A proposed permit participant's name.

The Ministry of Business, Innovation and Employment's bank account is held with Westpac bank, NZ Government Branch, 318 Lambton Quay, Wellington, New Zealand 6011.

An applicant is also expected to pay for all bank fees incurred for telegraphic transfers made from overseas. One bank fee is charged when the fee leaves the country of origin's bank and another fee is charged when it is received by a bank in New Zealand. As such, payments by telegraphic transfer should ensure that overseas bank charges are set to 'Ours' in order to have the bank fees paid at both ends - SWIFT Code is WPACNZ2W.

SECTION 6: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application⁵.

Signature:	_____
Legal name:	_____
Position of signatory:	_____
Organisation:	_____
New Zealand Business Number (NZBN):	_____
Date:	_____

⁵ Where the permit participant is a company, partnership, society, trust or other legal entity, the application must be signed in accordance with the relevant legislative requirements, constitution, or rules by a person or agent with the requisite authority. All applications must be signed in accordance with the relevant regulations.

This completed form is to be forwarded to nzpam@mbie.govt.nz or mailed to the NZP&M postal address below.

www.nzpam.govt.nz
nzpam@mbie.govt.nz

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NZP&M is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand's Crown Mineral Estate. Our aim is to maximise the gains to New Zealand's economy from development of these resources, a key component to the government's Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.