

UNDER SECTIONS 41AG AND 41A, CROWN MINERALS ACT 1991

Notification of change of control

This form is used for a notification of a change of control^{1,2,3} for a **permit participant** for a Tier 1, 2 or 3 minerals permit, or for a Tier 1 petroleum prospecting permit. If the change of control relates to the **permit operator** for a Tier 1 permit, use APP 06A. It is also used to notify a change of guarantor of a permit participant under section 41A.

- › New Zealand Petroleum & Minerals (NZP&M) recommends that applicants familiarise themselves with the Act, the relevant regulations, and the relevant Minerals or Petroleum Programme, and seek professional advice where appropriate before notifying a change of control. See NZP&M's **Overview of the minerals and petroleum regulatory environment – New Zealand Petroleum and Minerals** webpage for more details.
- › Please note that information provided with your application is treated confidentially but may be subject to release under the provisions of the **Official Information Act 1982**. If this is the case, we may consult with you before the material is considered for public release.
- › The personal information you must include in this form is needed to process your application under the Act. You have the right under the **Privacy Act 2020** and/or the **Official Information Act 1982** to access information held about you by New Zealand Petroleum & Minerals and request that this information be corrected if necessary.
- › The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business, Innovation and Employment's **Transparency Statement**.
- › If the space on any part of this form is insufficient to include all relevant details, place them at the beginning of the supporting information document in the order they appear in this form, state 'see supporting information' in the appropriate space, and attach the document to the application.

- 1 If the permit participant is a body corporate and undergoes a change of control this must be notified to the Minister. Change(s) of control must be notified to the Minister within 3 months of the change of control. If a body corporate has provided a guarantee for a permit participant, and that guarantor undergoes a change of control, this must also be notified to the Minister under section 41A using this form.
- 2 A change of control is defined in **section 41AA** of the Act. In summary, a change of control, in relation to a body corporate, is when a person obtains a controlling interest in the body corporate or – in the case of an exploration or a mining permit or licence for petroleum – that a person ceases to have a controlling interest in the body corporate.
- 3 For further information about how to apply to NZP&M for a change of control refer to **Change of control – petroleum permits** or **Change of control – minerals**.

1.1 Application contact details:

- › receives all communications about the progress of an application, including any application fee invoice.
- › can view all applications for which they are the Application contact (where they have an NZP&M online permitting system account)

Legal name:	
Organisation:	
NZBN (if applicable):	
Postal address:	
	Postcode:
Email:	
Primary phone:	
Secondary phone:	

2.1 Permits to which change of control relates:

Permit type: Petroleum Prospecting Permit, or Minerals Prospecting, Exploration or Mining Permit	Permit number:	Permit participants – please also include NZBN if applicable:	Interests held (%):
			% % %
			% % %
			% % %
			% % %
			% % %

SECTION 3: DETAILS OF CHANGE OF CONTROL

3.1 Nature of change of control:

Please describe the nature of the change of control:

SECTION 4: SUPPORTING INFORMATION

4.1 Documents required:

Please attach the following:

- ☐ A signed **APP 10** Application Authority form for each proposed permit participant who is not the person signing this application form.
- ☐ Other supporting information as outlined in the relevant Regulations, see the application guides at the following link: **Minerals – change of control** or **Petroleum – change of control**

SECTION 5: FEE INFORMATION

5.1 Fee payment information:

This application must be accompanied by evidence of payment of the appropriate fee as prescribed in the **Crown Minerals (Minerals Fees) Regulations 2016** or the **Crown Minerals (Petroleum Fees) Regulations 2016**.

One fee is payable for each permit which is the subject of a change of control notification.

Please refer to the NZP&M website for information on the relevant (GST inclusive) fees.

- › Fee for change of control for a Minerals permit: **Minerals permit fees** (Applications under section 41AG)
- › Fee for change of control for a Petroleum permit: **Petroleum permit fees** (Applications under section 41AG)
- › Please pay the fee by internet banking and attach a copy of the successful transaction, as evidence of the payment.

Account details:

- › Account Holder/Payee name: Ministry of Business, Innovation & Employment
- › Account number: 03 0049 0001311 02
- › Reference for payment: A permit participant's name.

The Ministry of Business, Innovation and Employment's bank account is held with Westpac bank, NZ Government Branch, 318 Lambton Quay, Wellington, New Zealand 6011.

The applicant is also expected to pay for all bank fees incurred for telegraphic transfers made from overseas:

- › One bank fee is charged when the fee leaves the country of origin's bank and another fee is charged when it is received by a bank in New Zealand.
- › As such, payments by telegraphic transfer should ensure that overseas bank charges are set to 'Ours' in order to have the bank fees paid at both ends.
- › SWIFT Code is WPACNZ2W.

5.2 Evidence of payment:

Please provide the following payment details to appear on the Ministry of Business, Innovation & Employment's bank statement and attach a copy of the successful internet banking transaction as evidence that the application fee has been paid.

Payee name:	
Payment reference:	
Payment date:	
Evidence of direct credit payment:	A copy of the successful internet banking transaction statement is attached. ☐

SECTION 6: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application.

Signature:	
Legal name:	
Position of signatory:	
Organisation:	
NZBN:	
Date:	

Where the permit participant is a company, partnership, society, trust or other legal entity, the application must be signed in accordance with the relevant legislative requirements, constitution, or rules by a person or agent with the requisite authority. All applications must be signed in accordance with the relevant regulations.

This completed form, along with the supporting documentation, should be emailed or posted to New Zealand Petroleum & Minerals at the addresses below.

Address: PO Box 1473, Wellington 6140, New Zealand

Email: nzpam@mbie.govt.nz

Website: www.nzpam.govt.nz

NZP&M is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand's Crown Mineral Estate. Our aim is to maximise the gains to New Zealand's economy from development of these resources, a key component to the government's Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.