

UNDER SECTION 23A & SECTION 32, CROWN MINERALS ACT

Application for a prospecting permit, mining permit or minerals exploration permit

This form is to be used to apply for a new permit (under section 23A) or a subsequent permit (under section 32) of the Crown Minerals Act 1991. This form is not to be used to apply for a petroleum exploration permit or any permit offered for allocation by public tender under section 24 of the Crown Minerals Act 1991.

- New Zealand Petroleum & Minerals recommends that applicants familiarise themselves with the Crown Minerals Act 1991 (the 'Act'), the relevant regulations, and the relevant Minerals or Petroleum Programme, and seek professional advice where appropriate before making an application for a permit. See www.nzpam.govt.nz/our-industry/rules-regulations/ for more details.
- Please note that information provided with your application is treated confidentially but may be subject to release under the provisions of the Official Information Act 1982. If this is the case, we may consult with you before the material is considered for public release.
- The personal information you must include in this form is needed to process your application under the Act. You have the right under the Privacy Act 1993 and/or the Official Information Act 1982 to access information held about you by New Zealand Petroleum & Minerals and request that this information be corrected if necessary.
- The information you provide in this form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business Innovation and Employment's **Transparency Statement**.
- If the space on any part of this form is insufficient to include all relevant details, place them at the beginning of the supporting Information document in the order they appear in this form, state 'see supporting information' in the appropriate space, and attach the document to the application.
- Note that a permit holder is the person who is the sole permit participant, or all of the permit participants, as the case may be. A permit participant means a person who holds a participating interest in a permit.

SECTION 1: CONTACTS

1.1 Application contact¹ details:

Please set out the details of the Application contact.

Legal name:	
Organisation:	
New Zealand Business Number (NZBN):	

¹ Application contact

The Application contact is the sole point of contact for an application. An application contact:

- receives all communications about the progress of an application, including any application fee invoice.
- can view all applications for which they are the Application contact (where they have a New Zealand Petroleum & Minerals online permitting system account)

Postal address:

Email:

Primary phone:

Secondary phone:

1.2 Permit administrator² details:

Will the Permit Administrator be different from the Application Contact? Yes No

If yes, continue to section 2. If no, complete section 1.2.

Please set out the Permit Administrator details.

Legal name:

Organisation:

New Zealand Business
Number (NZBN):

Postal address:

Email:

Primary phone:

Secondary phone:

2 Permit administrator

The Permit administrator is the ongoing primary contact on matters to do with the permit. A permit administrator:

- receives all communications regarding the permit not directed to the Fee administrator, Royalty administrator, ERL administrator, Geotechnical contact, or Audit contact. This includes all communication around upcoming obligations.
- can view all permits for which they are the Permit administrator (and where they have a New Zealand Petroleum & Minerals online permitting system account).
- by default assumes the Geotechnical Contact role for a permit, when the permit is first granted. However, this can be reassigned at any time using an APP11.
- by default assumes the Fee administrator role for a permit, when the permit is first granted. However, this can be reassigned at any time using APP 11.

SECTION 2: PROPOSED PERMIT HOLDER PERMIT PARTICIPANTS AND INTERESTS

2.1 Proposed permit participant details:³

If there is more than one proposed permit participant please record the details of each additional one using Form APP 09 Additional permit participant information (see www.nzpam.govt.nz/assets/Uploads/permits/permit-forms/minerals-app-09-application-form.pdf).

Name of proposed permit
participant:⁴

Type of proposed permit
participant:

- | | |
|--|---|
| <input type="checkbox"/> Individual | <input type="checkbox"/> Crown |
| <input type="checkbox"/> NZ registered company | <input type="checkbox"/> Overseas company ⁵ |
| <input type="checkbox"/> Incorporated society | <input type="checkbox"/> Trust ⁴ |
| <input type="checkbox"/> Partnership | <input type="checkbox"/> Industrial and provident society |
| <input type="checkbox"/> Local authority | <input type="checkbox"/> Other (please state) |

New Zealand Business
Number (NZBN) if relevant:

³ Under section 91 of the Act, the name and contact details of the permit participants of each permit must be on the public register. Contact details for the purposes of this section are considered to be the permit participant(s) address which may be an email address (currently address for service) and telephone number at which the permit participant may be contacted. Therefore, this information will be publicly available.

⁴ Permits can only be granted to legal entities. Trusts must provide the full name of every trustee and partnerships the full name of all partners. This information may be supplied in a separate list included in the supporting information to the application.

⁵ Please note that overseas companies carrying on business in New Zealand are required to register with the New Zealand Companies Office under section 334 of the Companies Act 1993. For further information on registration and the obligations of overseas companies carrying on business in New Zealand please refer to www.companies.govt.nz

Postal address:⁶

Physical address:⁶

Address for service:⁷

Primary phone:

Email address:

⁶ Physical and postal addresses need to be of the registered office, if applicable.

⁷ The address for service must be a physical address within New Zealand.

2.2 Proposed permit interests:

Please list all proposed permit participants, their percentage interest in the proposed permit and indicate the proposed permit operator.⁸

Permit participant(s):	Operator: ⁸ (Y/N)	NZBN (if relevant):	Interest:
1.			%
2.			%
3.			%
4.			%
5.			%

⁸ The operator is the person who is responsible for the day-to-day management of activities under the permit.

SECTION 3: PROPOSED PERMIT DETAILS

3.1 Proposed permit details:

Please provide the following details:

What type of permit are you seeking?	Petroleum <input type="checkbox"/> Prospecting <input type="checkbox"/> Mining OR Minerals <input type="checkbox"/> Prospecting <input type="checkbox"/> Exploration <input type="checkbox"/> Mining
What mineral(s) are you seeking this permit for? ⁹	
Proposed permit tier: ¹⁰	<input type="checkbox"/> Tier 1 <input type="checkbox"/> Tier 2
If this is a <i>prospecting</i> permit application, is a non-exclusive permit sought?	<input type="checkbox"/> Not applicable <input type="checkbox"/> Yes If this is a petroleum prospecting permit application, are you applying for speculative prospector status? ¹¹ <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> No

⁹ For subsequent permits, the minerals must be one or more of the same minerals as the current permit.

¹⁰ All Petroleum permits are Tier 1. For minerals permits please see section 28 of the Crowns Minerals Act 1991.

If this is a *minerals exploration* or *mining* permit application, or a petroleum mining permit application, is the application for a subsequent permit pursuant to section 32 of the Act?

- Not applicable (*not an exploration or mining permit application*)
 Yes current permit number: _____
 No

If this is a *Minerals mining* permit application, please tick all mining methods that are proposed to be used and state whether the application is for a hobby or recreational operation and whether it is for a special purpose mining activity:

- Not applicable (*not a minerals mining permit application*)
 Solution mining Opencast Other (please state) _____
 Surface mining Underground _____
 Dredging Reworking _____
Hobby or recreational operation¹² Yes No
Special purpose mining activity¹³ Yes No

If this is a *Minerals* permit application, is the application part of a newly available acreage (NAA) offer?¹⁴

- Not applicable (*not a minerals permit application*)
 Yes NAA number: _____
 No

Proposed area:¹⁵

_____ hectares or _____ square km

Location:

Region (please state) _____
 Onshore Offshore¹⁶ Both

Is the permit application area intended to exclude all granted permits or existing privileges for the same minerals group?

- Yes No

Proposed operation name:

Proposed duration¹⁷

_____ Years _____ Months

¹¹ As defined under section 90C of the Act.

¹² Hobby or recreational operations means small-scale suction dredging operations where the suction dredge has a combined engine rating no higher than 10 horse power, and beach sand mining operations that are limited to hand tools and riffle box.

¹³ As defined under section 2 of the Act.

¹⁴ Land with NAA status is subject to a time-bound competitive allocation process. For more information about the process, see clauses 6.7. and 6.8 of the Minerals Programme for Minerals (Excluding Petroleum) 2013.

¹⁵ The area is to be stated in hectares for Minerals exploration and mining permits only. For all other permit types, including all Petroleum permits, please state the proposed area extension in square kilometres.

¹⁶ Offshore is anything that is the seaward side of the mean high watermark.

¹⁷ **Prospecting permits** are ordinarily granted initially for up to 2 years, **exploration permits** are ordinarily granted initially for up to 5 years and **mining permits** for up to 40 years. **Mining permits** for alluvial gold and hobby or recreational operations are ordinarily granted for up to 10 years.

SECTION 4: SUPPORTING INFORMATION

4.1 Documents required:

Please attach the following:

- A signed APP 09 form for each additional proposed permit participant, if required.
- A signed APP 10 Application authority form for each proposed permit participant that is not you as an individual.
- For Tier 1 minerals applications on land to explore or mine for minerals other than gold or silver only – a Land Minerals Status (LMS) report. Even if this is a subsequent permit application and an LMS report has previously been provided please attach a copy of the report.
- A map of the permit area. The map must clearly identify the location of the proposed permit and must be prepared in accordance with the regulations. For subsequent permit applications, the area must fall only within the area of the current permit.
- Other supporting information as outlined in the relevant Regulations.

SECTION 5: APPLICATION FEE

5.1 Fee payment:¹⁸

Please indicate how the application fee is to be or has been paid:

I have paid by direct credit and have attached as evidence a copy of the successful internet banking transaction.

I have attached a cheque.

¹⁸ Your application must be accompanied by the appropriate fee prescribed in the Crown Minerals (Minerals Fees) Regulations 2016 or the Crown Minerals (Petroleum Fees) Regulations 2016 or evidence that the appropriate fee has been paid. Payments by direct credit are to be made to New Zealand Petroleum & Minerals' bank account held with Westpac Bank, NZ Government Branch, 318 Lambton Quay, Wellington New Zealand 6011, account number 03 0049 0001311 02 and a proposed permit participant's name should be used as the reference for the payment.

An applicant is also expected to pay for all bank fees incurred for telegraphic transfers made from overseas. One bank fee is charged when the fee leaves the country of origin's bank and another fee is charged when it is received by a bank in New Zealand. As such, payments by telegraphic transfer should ensure that overseas bank charges are set to 'Ours' in order to have the bank fees paid at both ends – SWIFT Code is WPACNZ2W.

SECTION 6: DECLARATION

I declare that all information provided in this application is true and correct to the best of my knowledge and that I am authorised to sign this application¹⁹.

Signature:	_____
Name:	_____
Position of signatory:	_____
Organisation:	_____
New Zealand Business Number (NZBN):	_____
Date:	_____

¹⁹ Where the permit participant is a company, partnership, society, trust or other legal entity, the application must be signed in accordance with the relevant legislative requirements, constitution, or rules by a person or agent with the requisite authority. All applications must be signed in accordance with the relevant regulations.

This completed form is to be forwarded to nzpam@mbie.govt.nz or mailed to the NZP&M postal address below.

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nzpam@mbie.govt.nz

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NZP&M is a branch of the New Zealand Ministry of Business, Innovation and Employment. We manage New Zealand's Crown Mineral Estate. Our aim is to maximise the gains to New Zealand's economy from development of these resources, a key component to the government's Business Growth Agenda. To support this aim we endeavour to educate and inform New Zealanders, including consultation with indigenous stakeholders and local government.