



**NEW ZEALAND
PETROLEUM & MINERALS**

GUIDE

Supporting Information Guide for the APP 01-PET petroleum permit application form

SEPTEMBER 2025



**MINISTRY OF BUSINESS,
INNOVATION & EMPLOYMENT**
HĪKINA WHAKATUTUKI

Te Kāwanatanga o Aotearoa
New Zealand Government



**MINISTRY OF BUSINESS,
INNOVATION & EMPLOYMENT**
HĪKINA WHAKATUTUKI

Ministry of Business, Innovation and Employment (MBIE) Hīkina Whakatutuki – Lifting to make successful

MBIE develops and delivers policy, services, advice and regulation to support economic growth and the prosperity and wellbeing of New Zealanders.

MORE INFORMATION

Information, examples and answers to your questions about the topics covered here can be found on our website: www.mbie.govt.nz

DISCLAIMER

This document is a guide only. It should not be used as a substitute for legislation or legal advice. The Ministry of Business, Innovation and Employment is not responsible for the results of any actions taken on the basis of information in this document, or for any errors or omissions.

ONLINE: 978-1-991409-50-8

SEPTEMBER 2025

©Crown Copyright

The material contained in this report is subject to Crown copyright protection unless otherwise indicated. The Crown copyright protected material may be reproduced free of charge in any format or media without requiring specific permission. This is subject to the material being reproduced accurately and not being used in a derogatory manner or in a misleading context. Where the material is being published or issued to others, the source and copyright status should be acknowledged. The permission to reproduce Crown copyright protected material does not extend to any material in this report that is identified as being the copyright of a third party. Authorisation to reproduce such material should be obtained from the copyright holders.

Supporting Information Guide for the APP 01-PET petroleum permit application form

1. Introduction

1.1 This Guide provides advice on how to correctly complete, the [APP 01-PET](#) application form for a Petroleum Permit, and how to provide the required supporting information.

1.2 The detailed requirements of the information applicants must provide to support their application are specified in the relevant legislation (see section 1.5 below). It is important that the information and supporting documentation is provided in full and in the required format so the application can be accepted for evaluation.

1.3 Refer also to the associated Guidance documents [Exploring for Petroleum in New Zealand, and the Open market competitive process](#) and [Prospecting for Petroleum in New Zealand, and the Open market competitive process](#). These describe the open market competitive process and how it will be used to allocate new petroleum exploration permits, or petroleum prospecting permits where these are sought with a subsequent right to apply for an exploration permit.

1.4 An application for an exploration permit by the holder of a prospecting permit with such a subsequent right will have to meet the requirements for the granting of an exploration permit specified in clause 7.2(16) of the Petroleum Programme, but will not be subject to the open market competitive process.

Applicable legislation, rules and regulations

1.5 In preparing their application, applicants will need to familiarise themselves with the relevant legislation and the [rules and regulations](#), listed below.

[The Crown Minerals Act 1991](#) (the **Act**)

[The Crown Minerals \(Petroleum\) Regulations 2007](#) (the **Petroleum Regulations**).

[The Crown Minerals \(Petroleum Fees\) Regulations 2016](#) (the **Petroleum Fees**)

The [Minerals Programme for Petroleum 2025](#) (the '**Petroleum Programme**')

1.6 Together, the legislation, rules and regulations establish the legal requirements for submitting petroleum permit applications and the framework New Zealand Petroleum and Minerals (NZP&M) uses to evaluate applications. This Guide is intended to help applicants navigate the application form, but it does not replace the need to refer directly to the legislation for a clear understanding of the legal requirements underpinning a petroleum permit application.

1.7 Applicants may wish to seek legal advice to fully understand the rules and regulations relevant to petroleum permit applications.

1.8 Applicants should be aware that acceptance and evaluation of an application may not always result in a decision to grant a permit. The Minister can decline to grant a permit to any applicant at any stage of the open market competitive process.

Glossary

1.9 For a glossary of terms used in the application form APP 01-PET and this Guide, please refer to the [Glossary of terms](#) on the NZP&M website.

2. General Guidance

Use of information provided with the APP 01-PET application form

2.1 Some information provided with a completed APP 01-PET application form may be made publicly available.

2.2 Once an Initial Application for a petroleum permit has been accepted it will be recorded publicly on the [NZP&M website](#). Refer to clause 6.2(8) and 7.2(10) of the Petroleum Programme.

2.3 Under [section 91](#) of the Act, for each permit, the name and contact details (address and email) of all permit participants provided in section 2.1 of the APP 01-PET application form must be on the public register maintained by NZP&M and will therefore be publicly available.

2.4 Information provided with an application is treated confidentially but may be subject to release under the provisions of the [Official Information Act 1982](#). If this is the case, NZP&M may consult the applicant before the material is considered for public release.

2.5 The personal information that must be included in the APP 01-PET application form is needed to process the application under the Act. Applicants have the right under the [Privacy Act 2020](#) and/or the Official Information Act to access information held about them by NZP&M and to request that this information be corrected if necessary.

2.6 The information provided in the APP-01- PET application form may be used for regulatory compliance or enforcement purposes according to the Ministry of Business Innovation and Employment's [Transparency Statement](#).

Attaching additional information

2.7 If the space on any part of application form APP-01-PET is insufficient to include all relevant details, you should:

- state 'see supporting information' in the appropriate space in the application form, and
- record that there is additional information in the supporting information table in Section 4.1 of the application form APP-01-PET, and
- provide the document/s with the application form APP-01- PET (preferably) in the order they appear in the form.

Submitting an application

2.8 The completed application form APP-01- PET, and all additional information and supporting documentation (including evidence of payment of the application fee) should be emailed to nzpam@mbie.govt.nz.

2.9 Alternatively, applicants may wish to apply online using NZP&M's [Online Permitting System](#).

3. Guidance for completing section 1: Contact details

3.1 Please provide all relevant contact details requested in this section of the application form. The full legal name should be provided. Where a primary and secondary phone number is requested, this may be, for example, a mobile number as the preferred phone contact and an alternative contact number such as a landline.

Application contact

3.2 Each application requires an [application contact](#) who will be NZP&M's sole point of contact for an application, including for any clarification needed to progress the application.

3.3 There can only be one application contact on any application. The application contact must be an individual, and may be a person who is advising or is acting on behalf of the nominated permit operator during the application process. Once an application is granted the application contact has no further role in the day-to-day management of the permit, unless they are also the permit administrator.

Permit administrator

3.4 The [permit administrator](#) must be an individual and is the ongoing primary contact on matters to do with the permit, including for all communication around upcoming obligations. By default, the permit administrator assumes the Geotechnical contact role and fee administrator for a permit, when the permit is first granted.

4. Guidance for completing section 2: Nominated permit operator and participants

4.1 Please provide all relevant contact details of the nominated permit operator and any details where a permit will be divided among different permit participants.

4.2 Please select the type of nominated permit participant. Permits can only be granted to legal entities. This includes individuals. Where the organisation is an unincorporated Trust, the relevant permit participant (and ultimate permit holder) would be the trustees, not the Trust. This is similar for unincorporated partnerships. Therefore, the name of each trustee or partner must be provided as the relevant permit participant. This information may be provided in a separate list included as supporting information to the application (see section 2.9 of this Guide).

4.3 If there is only one nominated participant in the permit, this will be the sole permit holder. If there is more than one nominated participant in the permit, these will jointly be the permit holder. Each nominated permit participant will need to give authority to the person named as the application contact in section 1.1 of the APP 01-PET application form by signing an [APP 10](#) Application Authority form which will be submitted with the application.

4.5 Overseas companies carrying on business in New Zealand are required to register with the New Zealand Companies Office under [section 334 of the Companies Act 1993](#). For further information on registration and the obligations of overseas companies carrying on business in New Zealand please refer to www.companies.govt.nz.

Permit interests

4.6 Permits may be divided between different permit participants, with their own percentage interest in that permit. If a permit is to be split between different permit participants, applicants should provide details of each nominated permit participant and their relevant permit percentage.

5. Guidance for completing section 3: Proposed permit details

5.1 Please provide all relevant details of the proposed petroleum permit. The information provided in this section will be used by NZP&M to evaluate the application against the requirements set out in the Act, Petroleum Regulations and Petroleum Programme. It is important that the information is provided in the required format and detail to ensure timely evaluation and decisions on the application.

Type of petroleum permit

5.2 Please specify what type of [petroleum permit](#) is being applied for.

Prospecting permit, either:

- (i) a **Petroleum Prospecting Permit** with exclusive prospecting rights and the subsequent right to apply for a Petroleum Exploration Permit (this is the default when applying for a prospecting permit and may be referred to as a non-speculative prospecting permit), or
- (ii) a non-exclusive **Speculative Prospecting Permit** with no subsequent right to apply for an exploration permit. This type of permit allows prospecting activities to be carried out solely for the purpose of on selling the information obtained to petroleum explorers and producers.

Refer to clauses 4.2 and 6.1 and 6.2 of the Petroleum Programme for further details of prospecting permit types, including information about requirements to be met before a permit will be granted.

Exploration permit, either:

- (i) a new exploration permit, or
- (ii) an exploration permit where the applicant is the holder of a prospecting permit with a subsequent right to apply for an exploration permit.

Refer to clause 7.2 of the Petroleum Programme for further details about exploration permits, including information about requirements to be met before a permit will be granted (7.2(8), (15) and (16)).

Mining permit, either:

- (iii) a new mining permit, or
- (iv) a mining permit where the applicant is the holder of an exploration permit with a subsequent right to apply for a mining permit.

Refer to clause 8.2 of the Petroleum Programme for details about mining permits, including information about requirements to be met before a permit will be granted.

Initial or Competing Application for a prospecting permit (other than a speculative prospecting permit) or a new exploration permit

5.3 If applying for a prospecting permit (not speculative) or a new exploration permit, please indicate whether this is the Initial Application for a permit over the proposed permit area (if known).

5.4 An Initial Application is the first complete application received by NZP&M for a prospecting permit or an exploration permit for a specified area of land. Once received, details of the Initial Application, and the Initial Application number, will be recorded and publicly available on the NZ P&M [Petroleum Permits Webmaps](#) webpage. Acceptance of an Initial Application for a prospecting or new exploration permit will trigger an open market competitive process over the proposed permit area. Applicants for these types of permits are encouraged to check the website to see if the permit area they are applying for is already the subject of an Initial Application.

5.5 Applications received by NZP&M for a prospecting permit or an exploration permit covering part or all of the area that is the subject of an Initial Application will be Competing Applications. A Competing Application must be made within three months of the Initial Application being accepted and recorded publicly on the NZP&M website (the Period of Competition). Competing Applications will also be recorded publicly on the NZP&M's website. Competing Applications will not be accepted after the Period of Competition has elapsed.

5.6 Clauses 6.2 and 7. 2 of the Petroleum Programme sets out detailed information on how Initial and Competing Applications for prospecting and exploration permits will be managed. Refer also to the Guidance on [Prospecting for Petroleum in New Zealand, and the Open market competitive process](#), which explain how Competing Applications will be evaluated under an open market competitive process.

Proposed permit area: location

5.7 Please state the location in New Zealand of the proposed permit area and identify whether this is:

- onshore
- offshore
- both onshore and offshore.

5.8 The maximum area and duration allowed for a permit may differ according to the location - for example a shorter duration may apply if the proposed permit area is in a location that has previously been well explored such as onshore or offshore Taranaki. The Minister has discretion to grant permits for larger areas and longer durations than the limits described in the Petroleum Programme.

5.9 Offshore petroleum permit areas may fall outside a region, so permit locations are usually listed in terms of petroleum basins. Please refer to the information about [New Zealand petroleum basins](#) on the NZP&M website.

Proposed permit area: size

5.10 Please state the proposed permit area in square kilometres. The application must be for an unbroken (contiguous) area.

5.11 The Petroleum Programme sets out the different permit area size specifications ordinarily allowed for a prospecting, exploration or mining permit and the circumstances that apply.

| Summary according to permit type | Petroleum Programme reference |
|---|-------------------------------|
| Prospecting <ul style="list-style-type: none">• no specific size limitations, except that:<ul style="list-style-type: none">○ a (non-exclusive) speculative prospecting permit cannot exceed the area to be prospected under the proposed work programme○ an exclusive (default) prospecting permit cannot ordinarily exceed the area allowed for an exploration permit. | Clause 6.4 |
| Exploration <ul style="list-style-type: none">• up to 10,000 sq kms if the area is offshore (except for Offshore Taranaki) or is a combined offshore and onshore land area• up to 2,500 sq kms if Offshore Taranaki• up to 250 sq kms if onshore | Clause 7.10 |
| Mining <ul style="list-style-type: none">• determined in consultation with applicant, taking specified considerations into account | Clause 8.5 |

Duration of permit

5.12 Please state the proposed duration of the permit.

5.13 The Petroleum Programme sets out circumstances relating to the duration of a prospecting, exploration or mining permit, and information on the circumstances in which the duration of a permit may be extended.

| Type of permit | Petroleum Programme reference |
|--|-------------------------------|
| Prospecting (ordinarily, a maximum of two years) | Clause 6.3 |
| Exploration (ordinarily a maximum of 15 years) | Clause 7.8 |
| Mining (maximum 40 years) | Clause 8.6 and 12.5 |

Relinquishment obligations for a petroleum exploration permit

5.14 Please provide details of proposed partial relinquishments in relation to an exploration permit area.

5.15 Exploration permit holders are expected to relinquish parts of their permit area as their work programme progresses and their interest in specific areas of prospectivity gets smaller. The applicant's work programme should include proposals for the timing, amount and location of land to be relinquished. Under [Section 35C](#) of the Act, the Minister may impose up to two relinquishment obligations, for up to 75% of the permit area, even if the applicant has not proposed partial relinquishments.

5.16 Refer to clause 7.2(8)(iii) and 7.10(3) to (8) of the Petroleum Programme for information on relinquishment obligations for exploration permits.

Excluded land

5.17 Applicants should be aware that in some circumstances land they are applying for may be excluded from permitting. For example, the land may be already the subject of an exclusive petroleum permit, it may be [Section 14\(2\)\(c\)](#) of the Act as land of particular importance to the mana of an iwi or hapū, or reserved under [Section 28A](#) for allocating by public tender.

5.18 Refer to clause 3.1 and 7.4 of the Petroleum Programme for details of the circumstances in which land may be unavailable for permitting.

5.19 NZP&M maintains on its [website](#) a Petroleum Permits Webmap of land that is not available for the purposes of a petroleum permit. Applicants are encouraged to check the website to confirm that the land they are applying for is available for permitting.

6. Guidance for completing section 4: Supporting information

6.1 Please provide the specified additional information to support the application.

6.2 The supporting information will be used by NZP&M to evaluate the application against the requirements for granting a permit set out in the Act and Petroleum Regulations. It is important that the information and the required documentation is provided as specified so it can be accepted for evaluation. There are different information requirements depending on whether the application is for a prospecting, exploration or mining permit, and different conditions (e.g. duration of the permit, permit area) will apply if the permit is granted.

6.2 Section 4 of the APP-01- PET application form sets out checklists of the documentation required to support the various types of petroleum permit application. The specified format and detailed requirements of how the supporting information is to be provided are set out in the Petroleum Regulations:

- in [Schedule 2](#), part 1 for a prospecting permit
- in [Schedule 2](#), part 1A and Schedule 2A for an exploration permit
- in [Schedule 2](#), part 2 and [Schedule 3](#) for a mining permit.

6.3 When an application for a petroleum permit is evaluated, a number of considerations are taken into account. These include:

- whether the proposed work programme is consistent with the purpose of the Act, the purpose of the proposed permit, and [good industry practice](#), and
- whether the applicant is highly likely to comply with the conditions of, and give proper effect to, the proposed work programme.

The applicant's technical capability, financial capability, permit compliance history and capability to meet health, safety and environmental requirements will all be taken into account when making these evaluations. Refer to clause 5.1 of the Petroleum Programme for details.

6.4 An applicant will need to provide sufficient information with application form APP 01-PET to inform a timely evaluation and decision on the application. The Petroleum Regulations set out detailed requirements relating to the information that must be included in applications for the different types of petroleum permit. In the course of processing an application, NZP&M may contact the applicant to request additional information to support a detailed evaluation of the application.

6.5 The supporting information requirements specified in the Petroleum Regulations for each type of permit are summarised below.

Map of permit area

6.6 Applicants must a map of the proposed permit area that clearly identifies where the proposed permit activities will take place. The map will be used to set out the relevant permit boundaries if a permit is granted.

6.7 Refer to [Regulation 4](#) of the Petroleum Regulations for information on map requirements, including how the boundaries should be accurately located and defined. Refer also to clause 4.5 (4) of the Petroleum Programme.

6.8 NZP&M may adjust the map provided to improve accuracy where appropriate, to meet the standards and regulations, subject to acceptance from the applicant. Maps that do not meet the standards may result in the application being declined.

Additional map information requirements for an exploration permit application

6.9 An application for an exploration permit must also include a document that sets out the geographic co-ordinates of the turning points used to construct the permit area map (even if that data is included in the map that has been provided). Refer to Part 1A of [Schedule 2](#) of the Petroleum Regulations.

6.10 While the Petroleum Regulations do not require the details described above for a prospecting or mining permit, applicants may wish to provide this additional information as it will be helpful when NZP&M evaluates their application.

Description or statement of geology

6.11 Applications must include a description or statement of the geology of the proposed permit area. The detail required is specified for each permit type in the Petroleum Regulations.

| Type of permit | Petroleum Regulations reference |
|---|--------------------------------------|
| Prospecting <ul style="list-style-type: none"> a summary of the geology of the proposed permit area | Schedule 2 , Part 1 |
| Exploration <ul style="list-style-type: none"> a description of the geology of the proposed permit area A list of information to be included is additionally specified | Schedule 2 , Part 1A |
| Mining <ul style="list-style-type: none"> a statement of the geology of the permit area, including its regional setting, geological history, and regional stratigraphy | Schedule 3 |

Petroleum potential of the permit area and results of previous prospecting and exploration work

6.14 Allocating Crown land for petroleum permits must be efficient, and there must be a reasonable expectation for petroleum to be present. Applications should include information about the applicant's understanding of the potential to develop petroleum resources in the proposed permit area. Information required is specified for each permit type in the Petroleum Regulations.

| Type of permit | Petroleum Regulations reference |
|---|--------------------------------------|
| Prospecting <ul style="list-style-type: none"> information on the play or plays to be addressed in the permit and a description of the critical risks associated with them that demonstrates the applicant's understanding of the petroleum system the results of any previous prospecting and exploration work in relation to the permit area | Schedule 2 , part 1 |
| Exploration <ul style="list-style-type: none"> a summary of the petroleum potential of the permit area a list of information to be included is additionally specified. This includes information about the applicant's understanding of the permit area and associated risks a summary of the results of any previous prospecting and exploration work in relation to the permit area | Schedule 2 , Part 1A |
| Mining <ul style="list-style-type: none"> an executive summary is required which must include specified information relating to the history, exploration and appraisal results and other information relevant to ascertaining the potential of the permit area | Schedule 3 |

Proposed work programme

6.15 All petroleum permit applications must include a proposed work programme for the permit, which may comprise committed activities, or committed and contingent activities. Permit work programmes set minimum requirements for a permit holder's activities in return for rights to prospect, explore or mine the Crown's mineral resources. The work programme is a set of legally enforceable obligations that form part of the permit certificate.

6.16 The proposed work programme must be consistent with the purpose of the Act, which is to promote the prospecting, exploration and mining of Crown owned minerals for the benefit of New Zealand. It must also be consistent with the purpose of the proposed permit, and with good industry practice. The applicant must also be able to demonstrate that they are highly likely to be able to comply with the conditions of, and to deliver the proposed work programme. Clause 5.1 to 5.3 of the Petroleum Programme describes how these matters may be considered when the application is evaluated.

6.17 The Petroleum Regulations prescribe the information to be provided as part of a proposed work programme for each petroleum permit type.

| Type of permit | Petroleum Regulations reference |
|--|--|
| Prospecting <ul style="list-style-type: none">a proposed work programme that:<ul style="list-style-type: none">states its objectives and estimated cost; andidentifies the technical rationale, milestones, and deliverables of the programme. | Schedule 2 , part 1 |
| Exploration <ul style="list-style-type: none">a proposed work programme that:<ul style="list-style-type: none">identifies all committed work (including specifying timing and identifying whether the work is crucial to success)identifies contingent work (including specifying commit or surrender date and identifying whether the work is crucial to success)quantified spatial distribution of each activity to be undertaken (examples are provided)proposed partial relinquishments | Schedule 2 , Part 2A |
| Mining <ul style="list-style-type: none">a report that sets out the reserves and proposed work programme for the development of the field concerneda list of information to be provided in the report is additionally specified. | Schedule 2 , Part 2 and Schedule 3 Refer also to the Petroleum Programme: <ul style="list-style-type: none">Clause 8.4 (information on how a staged work programme might be considered)Clause 8.8 (circumstances where a work programme may not be approved) |

Information on technical capability

6.18 When evaluating an application, NZP&M will evaluate the applicant's (in particular, the proposed permit operator's) technical capability to comply with and give effect to the proposed work programme. This may include reviewing the operator's record and experience with similar (previous or current) work programmes, and relevant skills and qualifications.

6.19 Clause 5.3(1) and (2) of the Petroleum Programme describes how these matters may be considered when the application is evaluated.

6.20 The Petroleum Regulations prescribe the information to be provided to demonstrate technical capability for each petroleum permit type.

| Type of permit | Petroleum Regulations reference |
|--|--|
| Prospecting <ul style="list-style-type: none"> a statement of the technical qualifications and financial resources of the applicant | Schedule 2 , part 1 |
| Exploration <ul style="list-style-type: none"> a statement of the applicant's technical capability to deliver the proposed work programme the statement must include specified information listed in the regulations in relation to the experience and, skills of each nominated permit participant and/ or the nominated permit operator this includes for example, information on their qualifications, management structure, technical experience and skills, operating and risk management systems, use of technology etc | Schedule 2 , Part 1A and Schedule 2A, Part 2 |
| Mining <ul style="list-style-type: none"> a statement of the technical qualifications and financial resources of the applicant | Schedule 2 , Part 2 |

Information on financial capability

6.21 When evaluating an application, NZP&M will evaluate an applicant's (in particular, the nominated permit operator's) financial capability to undertake the proposed work programme and comply with the conditions of the permit.

6.22 An applicant will need to demonstrate financial capability to carry out the proposed work programme. They may be required to provide evidence of financial capability including the level of financial commitment required for the proposed work programme, and of their ability to meet those costs. Where an applicant already has financial commitments in the form of other permits or licences, they will be expected to provide evidence that they have an ability to continue to fund existing commitments in addition to the proposed work programme.

6.23 Clause 5.3(1) and (3) of the Petroleum Programme describes how these matters may be considered when the application is evaluated.

6.24 The Petroleum Regulations prescribe the information to be provided to demonstrate financial capability for each petroleum permit type.

| Type of permit | Petroleum Regulations reference |
|--|--|
| Prospecting <ul style="list-style-type: none"> a statement of the technical qualifications and financial resources of the applicant | Schedule 2 , part 1 |
| Exploration <ul style="list-style-type: none"> a statement of the applicant's financial capability to deliver the proposed work programme | Schedule 2 , Part 1A and Schedule 2A, Part 3 |

| | |
|--|-------------------------------------|
| <ul style="list-style-type: none"> the statement must include specified information listed in the regulations, including (among other things) in relation to the applicant's: <ul style="list-style-type: none"> ability to meet the costs of the work ability to meet decommissioning obligations ability to pay the required fees and royalties annual cashflow forecast details of current credit rating and financial statements financial information in respect of related parties | |
| Mining <ul style="list-style-type: none"> a statement of the technical qualifications and financial resources of the applicant | Schedule 2 , Part 2 |

Compliance history

6.25 Compliance history will be taken into account when an application is evaluated. Applicants will need to provide detailed information to support a decision as to whether they are likely to be able to comply with permit obligations and deliver the proposed work programme.

6.26 Refer to clause 5.3 of the Petroleum Programme for information on the compliance issues likely to be considered when an application is evaluated.

6.27 Applicants should provide details of any known non-compliance or issues with previous or current permits or licenses they have held. This includes:

- non-compliance with the payment of annual fees and royalties
- non-compliance with completion of annual summary reports and technical reporting
- non-compliance with previous or current permit work programme obligations whether in New Zealand or internationally
- history of revocation or surrender of a permit/licence before completing committed work obligations.

6.28 Applicants should provide any relevant information, including:

- an explanation as to why these instances occurred and how they intend to prevent these instances occurring again if they are granted a permit
- evidence that they are likely to be able to comply with the relevant obligations under the Act, the Petroleum Regulations or the [Crown Minerals \(Royalties for Petroleum\) Regulations 2013](#) (in respect of reporting, and the paying of fees and royalties).

6.29 A previous history of non-compliance will not necessarily prevent a permit being granted. However, failing to disclose non-compliance may delay a decision on the application.

6.30 The Petroleum Regulations prescribe the more detailed information on compliance history required of an applicant for an exploration permit.

| Type of permit | Petroleum Regulations reference |
|--|--|
| <p>Exploration</p> <p>In addition to the compliance issues described in 6.27 and 6.28 above, information to be provided includes (among other things) details of:</p> <ul style="list-style-type: none"> • compliance issues in relation to associated parties of nominated permit participants • any current investigations or other actions being taken (in New Zealand or internationally) for any permit breaches • non-compliance in relation to any decommissioning or post-decommissioning obligations | <p>Schedule 2, Part 1A and Schedule 2A, Part 4</p> |

Health and safety and environmental capabilities and systems

6.31 An applicant's ability to meet health, safety and environmental requirements are among matters which will be considered when evaluating an application for a petroleum permit. Applicants will need to provide evidence that the nominated permit operator can meet the relevant requirements for the proposed activities when the work is undertaken.

6.32 Clause 5.4 of the Petroleum Programme describes matters relating to health and safety and environmental requirements that will be considered as part of an initial evaluation of an application.

6.33 Applicants should be able to demonstrate that the nominated permit operator can show:

- an understanding of New Zealand's regulatory requirements relating to health and safety and the environment in relation to the type of activities proposed under the permit (including any prescribed iwi and hapū consultation processes). (This should include an understanding of the [Health and Safety at Work Act 2015](#) and other relevant legislation)
- an understanding of the health and safety and environmental risks in relation to the type of activities proposed under the permit
- they will have in place by the time the relevant activities are undertaken:
 - appropriate systems, processes and capabilities for complying with the relevant health and safety and environment requirements (including a description of the proposed health and safety management system)
 - appropriate systems, processes and capabilities for managing health and safety and environmental (including a description of any known risks and due diligence that it may have conducted)
 - personnel with appropriate qualifications and experience relating to health and safety and environmental requirements and risks (including a description of organisational structure and roles required to support their operation).

6.34 The Petroleum Regulations prescribe the more detailed information on compliance history required for an exploration permit application.

| Type of permit | Petroleum Regulations reference |
|--|--|
| <p>Exploration</p> <p>In addition to the health and safety and environmental requirements in 6.33 above, information to be provided includes (among other things) details of the nominated permit operator's:</p> <ul style="list-style-type: none"> • occupational health and safety policies, particularly in relation to process safety within a hazardous working environment • compliance record with specified Acts in relation to petroleum exploration and mining activities (including details of enforcement action taken, incidents involving fatalities, fires, explosions or loss of containment of hazardous substances, or civil action taken) • compliance record with legislation comparable to the relevant New Zealand legislation in respect of exploration activities undertaken in overseas jurisdictions • any current investigations or other actions being taken (in New Zealand or internationally) for any permit breaches • non-compliance in relation to any decommissioning or post-decommissioning obligations | <p>Schedule 2, Part 1A and Schedule 2A, Part 5</p> |

Other information required to inform the evaluation of a petroleum mining permit application

6.35 A key consideration when an application for a mining permit is evaluated is whether the applicant has identified and delineated a petroleum field that can be effectively mined within technical and economic constraints. Clause 8.3 of the Petroleum Programme describes factors that will be taken into account:

- (a) the geology of the mining permit application area; and
- (b) the nature, extent, and physical and chemical characteristics of the petroleum to be extracted and produced; and
- (c) estimates of petroleum in place and recoverable petroleum reserves; and
- (d) proposed operations in respect of production and reservoir management; and
- (e) alternative field development plans, and whether the proposed plan is optimal in terms of the purpose of the Act, the maximum recovery of economic reserves, and good industry practice; and
- (f) proposed operations in respect of processing and transport facilities, and decommissioning operations; and
- (g) the proposed production profile and the proposed start date for production; and
- (h) any condition of an initial exploration permit that that permit had specified should also be included in a later mining permit; and
- (i) any market or economic considerations that are relevant to determining maximum economic recovery.

6.36 The Petroleum Regulations prescribe detailed information required to support a mining permit application.

| Type of permit | Petroleum Regulations reference |
|---|--|
| <p>Mining</p> <p>A report is to be provided that sets out the reserves and proposed work programme for the development of the field concerned.</p> <p>The report must include an executive summary. Specific information is to be covered in the executive summary and this is listed in Schedule 3. (The requirements are summarised in the checklist in table 4.5 of application form APP 01-PET)</p> | <p>Schedule 2, Part 2 and Schedule 3</p> |

Additional information on a previous permit holder's record of iwi engagement may be requested

6.37 Applicants should be aware that, in the course of processing an application, NZP&M may contact them to request additional information that would support an evaluation of any previous engagement by the applicant with iwi.

6.38 Clauses 11.2(3) and 11.8 of the Petroleum Programme sets out the requirements (under [section 33C](#) of the Act) for permit holders to submit an annual report on their engagement with iwi and hapū whose rohe (area) includes some or all of the relevant permit area or who otherwise may be directly affected by the permit.

6.39 [Section 29C of the Act](#) requires the Minister to have regard to feedback provided in such iwi engagement reports and at annual review meetings about the quality of an applicant's previous engagement with iwi or hapū in their capacity as a current or previous permit holder or privilege holder. The Minister may also have regard to other feedback from iwi or hapū about the applicant's previous engagement with them in their capacity as a current or previous permit holder or privilege holder (refer to clauses 5.1, 5.7, 7.2(8)(d) and 7.2(16)(d) of the Petroleum Programme).

6.40 The Petroleum Regulations do not specifically require that information on an applicant's engagement with iwi as a previous permit holder must be provided with an application. However, as described in the Petroleum Programme referenced above, an applicant's previous history with iwi is a factor that will be considered in a decision to grant a petroleum permit.

6.41 If an applicant has previously held a petroleum (or other Tier 1) permit, the annual iwi engagement reports they were required to provide to NZP&M will therefore be relevant to a current application. They may wish to consider providing this as supplementary information when submitting their application, or be prepared to provide it subsequently at NZP&M's request.

7. Guidance for completing section 5: Application fee

7.1 Section 5 of application form APP 01-PET provides details of how an applicant can pay the application fee and provide evidence of payment to be submitted with the application. (The Ministry of Business, Innovation and Employment no longer accepts cheques for payment of an application fee.)

7.2 Current application fees for each petroleum permit type are notified on the [NZP&M Petroleum Permit Application Fees](#) webpage.

8. Guidance for completing section 6: Declaration

8.1 The application form APP 01-PET must be signed and dated in the section 6 Declaration box in accordance with [Regulation 6](#) of the Petroleum Regulations. If the person signing this section of the form is doing so on behalf of

other nominated permit participants, an [APP 10](#) Application Authority form signed by each participant must be provided. This authorises the person completing the section 6 Declaration to sign the application form on their behalf.



Te Kāwanatanga o Aotearoa
New Zealand Government

NZPM 12235